**Proposal Submission** — **Award and Account Establishment** — **After Research Commences** — **Closeout**
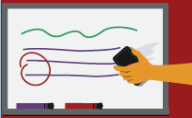
## What is a technology control plan (TCP)?

A technology control plan, or "TCP", is a document that outlines the measures that a researcher must follow in order to appropriately protect information and technology that is subject to U.S. export control laws and regulations.

## Is a TCP required for my research project?

If a research project has any restrictions on publication or personnel access, a TCP may be required.

## What is USC's process for implementing TCP's?

If a sponsor mandates restrictions on publication or access on a sponsored project, the investigator must submit an exception request to conduct the project. In order to adhere to these restrictions, the university may require the investigator to implement a TCP to appropriately protect restricted items or information.

## Do I have any input into the measures that will be implemented through the TCP?

Yes. The researcher and the relevant central administrative offices will collaborate to create a TCP that allows restricted research projects to proceed in a manner that complies with applicable laws and university policy.

## What are some common measures that might make their way into a TCP?

Some measures commonly implemented include:

- Physical security controls that will be used to prevent unauthorized access to secured areas and to protect project-related items and information
- Information security controls that will be used to prevent unauthorized access to project-related information, including drives and devices that will be used to store restricted information
- End-of-project security measures
- Processes for reporting violations

## I'd like to learn more about TCP's and export controls. Where can I find additional information?

For questions, please consult the Office of Culture, Ethics and Compliance or email Emily Pender, Manager of Export Controls, at epender@usc.edu.

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